## PATENT COOPERATION TREAT

# **PCT**

REC'D 0 8 FEB 2006
WIPO PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 2252/PCT			t's file reference	FOR FURTHER ACTION  See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)		
International application No. PCT/GB2004/005165				International filing date (a	lay/month/year)	Priority date (day/month/year) 12.12.2003
			t Classification (IPC) or bo	L oth national classification ar	nd IPC	
Applicant CLINICAL DESIGNS LIMITED et al.						
This international preliminary examination report has been prepared by this International Preliminary Examining     Authority and is transmitted to the applicant according to Article 36.						
2.	2. This REPORT consists of a total of 6 sheets, including this cover sheet.					
[ [	This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).					
	These annexes consist of a total of sheets.					
3.	This	repor	t contains indications re	elating to the following ite	ems:	
	1	$\boxtimes$	Basis of the opinion			
	II		Priority			
	111	$\boxtimes$	Non-establishment of	opinion with regard to no	ovelty, inventive step	and industrial applicability
	IV	$\boxtimes$	Lack of unity of invent			
	V	$\boxtimes$	Reasoned statement citations and explanat	under Rule 66.2(a)(ii) wi tions supporting such sta	th regard to novelty, in atement	nventive step or industrial applicability;
	VI		Certain documents cit			
	VII	$\boxtimes$	Certain defects in the	international application		
	VIII		Certain observations	on the international appl	ication	-
					Date of completion of t	his rapart
Date of submission of the demand				Date of completion of t	, iiio Topott	
12.07.2005				07.02.2006		
Name and mailing address of the international			nal	Authorized Officer	aschies Patentenn	
preliminary examining authority:						Start M. is
		D-8	30298 Munich	656 opmu d	Vänttinen, H	an Palega
	<u>الك</u>	Fa:	i. +49 89 2399 - 0 Tx: 523 x: +49 89 2399 - 4465	ооо ерши и	Telephone No. +49 89	2399-7442

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/GB2004/005165

l.	<b>Basis</b>	of the	report
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1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

	Des	cription, Pages				
	1-15		as originally filed			
	Clai	ms, Numbers				
	1-34		as originally filed			
	Drav	wings, Sheets				
	1/8-8	•	as originally filed			
2.	With lang	n regard to the <b>langua</b> Juage in which the into	age, all the elements marked above were available or furnished to this Authority in the ernational application was filed, unless otherwise indicated under this item.			
	The	se elements were ava	ailable or furnished to this Authority in the following language: , which is:			
		the language of a tra	inslation furnished for the purposes of the international search (under Rule 23.1(b)).			
		the language of publ	ication of the international application (under Rule 48.3(b)).			
		the language of a tra Rule 55.2 and/or 55.3	inslation furnished for the purposes of international preliminary examination (under 3).			
3.	With inte	n regard to any <b>nucle</b> rnational preliminary (	otide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:			
		contained in the inte	rnational application in written form.			
		filed together with the	e international application in computer readable form.			
		furnished subsequer	ntly to this Authority in written form.			
		furnished subsequently to this Authority in computer readable form.				
		The statement that the international a	he subsequently furnished written sequence listing does not go beyond the disclosure pplication as filed has been furnished.			
		The statement that t listing has been furn	he information recorded in computer readable form is identical to the written sequence ished.			
4.	The	amendments have r	esulted in the cancellation of:			
		the description,	pages:			
		the claims,	Nos.:			
		the drawings,	sheets:			

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/GB2004/005165

5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).		
		(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)		
6.	Add	itional observations, if necessary:		
III.	Non	-establishment of opinion with regard to novelty, inventive step and industrial applicability		
<ol> <li>The questions whether the claimed invention appears to be novel, to involve an inventive step (to b obvious), or to be industrially applicable have not been examined in respect of:</li> </ol>				
		the entire international application,		
	$\boxtimes$	claims Nos. 34		
		because:		
		the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):		
		the description, claims or drawings (indicate particular elements below) or said claims Nos. are so unclear that no meaningful opinion could be formed (specify):		
		the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.		
	$\boxtimes$	no international search report has been established for the said claims Nos. 34		
2.	or a	neaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative tructions:		
		the written form has not been furnished or does not comply with the Standard.		
		the computer readable form has not been furnished or does not comply with the Standard.		
ı۷	/. Lac	ck of unity of invention		
1.	. In r	response to the invitation to restrict or pay additional fees, the applicant has:		
		restricted the claims.		
		paid additional fees.		
		paid additional fees under protest.		
		neither restricted nor paid additional fees.		
2	. 🗆	This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.		
3	. Thi	is Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3		
		complied with.		

## INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No.

PCT/GB2004/005165

	$\boxtimes$	not complied with for the follow	ing rea	asons:	
		separate sheet			
١.	Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:				
		all parts.			
	$\boxtimes$	the parts relating to claims No	s. 1-33		
<ul> <li>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;</li> <li>citations and explanations supporting such statement</li> </ul>					
1.	Sta	tement			
	No	velty (N)	Yes: No:	Claims Claims	2-6,10-19,20-23,25-33 1,7-9,19,24
	inv	rentive step (IS)	Yes:	Claims	4-6,10-19,20-23,25,27-33

1-3,7-9,19,24,26

1-33

2. Citations and explanations

Industrial applicability (IA)

see separate sheet

Inventive step (IS)

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

Claims

Yes: Claims

No: Claims

No:

see separate sheet

## 1 Concerning Item IV

The subject-matter of claim 1 does not include a counter. The dispenser according to claim 1 only has to be adapted for accommodating a counter for counting the dispensed portions of a substance. Thus, a device according to claim 1 solves the problem of dispensing a medicament and the device of claim 34 the problem of providing a reusable counter for counting medicament dispensed by a dispenser. Consequently, claims 1 and 34 do not have any common technical features and thus said claims cannot have any common or corresponding special technical features either. Hence, claims 1 and 34 lack unity. The separate inventions/groups of inventions are:

Claims 1-33: A dispenser and a method for manufacturing the same

Claim: 34: A multi-use counter

Because the applicant has not paid the requested additional search fees, the subject-matter of claim 34 has not been searched. Consequently, the subject-matter of claim 34 cannot be examined in respect of Article 33(2)-(4) PCT.

#### 2 Concerning Item V

- 2.1 WO-A-01/37909 (D1) discloses a dispenser having a body (3), a container (4), a dispensing mechanism comprising a displaceable element (p.8, I. 15-24), an accommodation on the body (2) for a counter, and a closure (7) which co-operates with a portion of the body as defined in claim 1. In addition, also WO-A-01/31578 (D2) is considered to disclose a device which falls under the wording of claim 1. Consequently and because the subject-matter of claim 1 does not include a counter, the subject-matter of claim 1 does not meet the requirement of Article 33(2) PCT over the disclosures of D1 and D2.
- 2.2 Also the technical features of the dependent claims 7-9, 19 and 24 appear to be disclosed by D1. Thus, said claims do not meet the requirement of Article 33(2) PCT either.
- 2.3 The technical features of the dependent claims 2 and 3 appear to relate minor constructional changes which come within the scope of the customary practice followed

by persons skilled in the art, especially as the advantages thus achieved can be readily contemplated in advance. Furthermore, the subject-matter of claim 26 is considered to be obvious in the light of the disclosure of US-A-5 299 701. Consequently, the subject-matters of said claims do not appear to meet the requirement of Article 33(3) PCT.

- 2.4 The technical features of dependent claims 4-6, 10-18, 20-23, 25, and 27-31 do not appear to be derivable from any of the cited documents in an obvious manner. Thus, the subject-matters of said claims appear to meet the requirements of Article 33(2) and (3) PCT.
- 2.5 The subject-matter of independent method claim 32 is also considered to meet the requirements of Article 33(2) and (3) PCT, because the cited documents neither disclose a dispenser according to claim 11 or 16 nor the method steps defined in claim 32. Consequently, also the dependent claim 33 meets the requirements of Article 33(2) and (3) PCT.
- 2.6 The industrial applicability (Article 33(4) PCT) of a device according to the claims 1-31 and of a method according to claims 32 and 33 is self-evident.

## 3 Formal Objections

The closest prior art (D1) has not been identified as required by Rule 5(a)(ii) PCT. Furthermore, the independent claims are not in the two-part form as required by Rule 6.3(b) PCT. In addition, the claims do not include reference signs in parentheses as required by Rule 6.2(b) PCT.